Transport Canada’s Northern Transportation Adaptation Initiative (NTAI) 2011-2021

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From 2011 to 2021, Transport Canada’s Northern Transportation Adaptation Initiative (NTAI) has helped northern agencies to prepare for challenges anticipated from climate change for transportation infrastructure built in the permafrost environment. The program has linked northerners with academic researchers and consulting engineers in southern Canada to conduct a series of projects aimed at providing innovative understanding and approaches to specific problems. The ethos of the program issued from experience with the Alaska Highway test section near Beaver Creek, Yukon, and work by the Québec Ministry of Transport on airstrips in Nunavik. Both initiatives were stimulated by infrastructure failing due to permafrost thaw and both were partnerships between transportation agencies and the university sector.

By 2010, the federal government was aware that risks would be posed by climate change to the transportation network in the North and knew that partnerships would be needed to stimulate innovation for infrastructure construction and maintenance practices. The NTAI also recognised the need for capacity development in permafrost engineering and northern applied science. Relatively few personnel qualified in these areas entered the workforce between 1980 and 2005 and by 2010 only two Canadian universities retained research interest in permafrost engineering. Part of the NTAI’s mandate has been to train research students in permafrost issues relevant to transportation infrastructure and to provide short courses for professional development in this area.

When the NTAI was conceived, the primary risk to the transportation network was considered to be from thawing and loss of embankment integrity. The research conducted through the program identified a range of other geohazards, especially derived from a more active hydrologic regime and from thermokarst development close to infrastructure. These are perhaps more pressing and require immediate risk management. The program and its northern partners have also benefitted from opportunities to study infrastructure performance ab initio due to construction of the Inuvik-Tuktoyaktuk Highway.

Maxine Bilodeau is the Director of Climate Change Adaptation & Planning for Transport Canada. She says that “the NTAI has played a unique role in Transport Canada’s climate change agenda, influencing the way the department designs and implements programs, and helping to inform funding decisions. Northern jurisdictions own much of the transportation infrastructure in Arctic regions, and their active involvement in this program has helped target permafrost research to areas of most pressing need, ensuring the program’s continued relevance. Overall, the NTAI is a great example of how research/industry/government collaboration can drive policy change and action to enhance the climate resilience of transportation systems in Canada’s permafrost regions.” The reports that follow outline some of the initiatives undertaken by the program. The projects have characteristically integrated permafrost science and engineering.
**NTAI (2011-2021)**

BY CHRIS BURN (CARLETON UNIVERSITY)

During the last 10 years, permafrost has become a focus of international scientific attention. Amplification of climate change in the polar regions, regular transits of the Northwest Passage, awareness of climate risks posed by potential release of the 1600 billion tonnes of carbon stored in permafrost, and increased tourism in the Arctic have all raised public and scientific awareness of the North. The number of publications catalogued by the Web of Science with *permafrost* in the title has increased exponentially from approximately 10 per year in 1980, to 25 in 1990, 50 in 2000, 200 in 2010, 400 in 2015, and 700 in 2020. The vast majority of this published research concerns environmental aspects of the changing permafrost environment. Relatively little has addressed the challenges posed by climate change for northern infrastructure.

The NTAI is the first organized national program in Canada to address northern transportation infrastructure stability in anticipation of climate change. Several Canadian initiatives complemented the NTAI at its inception. First, the Transportation Association of Canada (TAC) sponsored development of *Guidelines for Development and Management of Transportation Infrastructure in Permafrost Regions* (2010). Second, the Canadian Standards Association released CSA PLUS 4011-10 *Infrastructure in permafrost: A guideline for climate change adaptation* (2010), now revised as PLUS 4011-19, and began a program of standards development for northern infrastructure construction. Third, the Beaver Creek test section at km 1865 of the Alaska Highway had been initiated as a full-scale experiment to examine the efficacy of several design approaches to address deterioration of highway embankments above permafrost.

Fourth, the Government of Québec had begun geoscience and engineering programs to address similar aspects of its northern airports. Finally, by 2011 the Inuvik-Tuktoyaktuk Highway (ITH), the first major new infrastructure project in the North for 40 years was being designed. Most of these initiatives were primarily concerned with managing the disturbance to permafrost terrain brought about by construction and operation of infrastructure, rather than anticipating and preparing for the effects of climate change. The NTAI’s focus on climate change was distinctive and forward looking at the time. Now it is recognised as integral to long-term management of transportation infrastructure.

During 2011-21, the NTAI contributed to several important developments in our understanding of the dynamism of permafrost at Blackstone River, Yukon (2014).
of climate change impacts on infrastructure and potential strategies to manage these effects. First, the role of groundwater has been reassessed because increasing late summer and fall rainfall and longer freeze-up of northern streams has led to culvert blockage and failure due to icing, as, for example at YT km 32 of the Dempster Highway and at several points on the ITH (Figs 1, 2). The sinkhole at YT km 82 that develops perennially is also formed due to groundwater movement below the road (Fig. 3). Groundwater-induced thawing of permafrost led to closure of the east end of the runway at Inuvik in 2013 for six weeks of repairs.

Second, snow management techniques have been effective in arresting permafrost degradation either using snow sheds or by reducing embankment slopes. These techniques require capital investment; other maintenance approaches to snow management on slide slopes continue to be evaluated. Third, integrated tools for planning and risk assessment are now developed which take advantage of GIS platforms and data analytics. These allow hazard and risk assessments on a km-by-km basis or even over shorter spreads in advance of construction using available data. They are constrained by the quality of information and do not, of course, cover unanticipated events, such as icing development beneath bridges on the ITH (see p. 9).

Fourth, geohazards due to permafrost thaw outside the Right-of-Way, such as the retrogressive thaw slump at NT km 28.5 of the Dempster Highway are now recognised as presenting risk of highway failure. Fifth, catastrophic effects, such as the landslides and washouts in 2016 that closed the Dempster Highway for 2 weeks, while infrequent, are to be anticipated in sloping terrain, not just due to increases in precipitation but also forest fires that destabilize the active layer (Fig. 4).

Finally, although the TAC guidelines promoted winter construction of new infrastructure, as employed on the ITH, the extent and rate of subsidence of the embankment is unpredictable if built with frozen and, perhaps, ice-rich fill. Progress has been made in characterizing the extent of embankment deformation and deformation progress over time to enable better assessment of the quantities of materials initially required by projects.

The importance of all these aspects will increase in the next decade as climate warming and increases in precipitation continue. New considerations will arise as the reality of the extent of anticipated climate change is incorporated in planning of new infrastructure, such as the Mackenzie Highway, and presents further challenges to maintenance crew and highway authorities. Hazard warning strategies operating at various time scales will be required and initiation of preventative maintenance works must be considered. This will require a significant adjustment in management strategies that are now characteristically reactive because of the high costs of a transportation network that serves part of Canada with a relatively low population density.
A retrogressive thaw slump (RTS), initiated by riverbank erosion was found close to the Alaska Highway at km 1456 in April 2019 (Figs 5, 6). Permafrost is discontinuous and ice-rich in places throughout the area. Satellite and aerial imagery show the RTS to have been active since 2014, with the headwall approaching the highway at an average rate of 8 m/yr.

A field program is in progress to assess development of the RTS and risk of highway failure. This includes drilling boreholes, now instrumented with ground temperature cables, humidity sensors, and inclinometer arrays to monitor ground conditions and RTS failure in real-time; monitoring of ground surface movement with differential GPS; aerial surveys by UAV; two- and tri-dimensional electrical resistivity tomography and electromagnetic surveys to map permafrost properties and groundwater movement.

Aerial surveys show the headwall to be approximately 80 m away from the road in May 2019 but with ablation of 12 m in summer 2019 and 14 m in summer 2020, the headwall is now 55 m from the road. Geophysical surveys suggest ice-rich permafrost under the road, indicating the risk posed by the RTS to the highway.

Real-time borehole monitoring through an array of sensors has shown initial stages of failure that could be used to trigger an alarm system. This information can be used to determine the relations between various measured parameters and the timing/rate of RTS failure. Ultimately, similar programs may be used to monitor other RTSs impacting transportation systems, and alert highway operators to anticipated road failure.

Fig. 5. Headwall of the Takhini RTS, Alaska Highway km 1456, July 2019.

Fig. 6. Geospatial monitoring of Takhini RTS using drone imagery and benchmark surveys.
The north Alaska Highway between Burwash Landing and the international border has been laid on top of ice-rich ground. The road was rebuilt from 1992-2005 to correct differential settlement of the driving surface and restore the service condition of a rural arterial highway with a design speed of 90 km/h. During reconstruction, massive ground ice was exposed in a deposit of glaciofluvial gravel, excavated for fill beside the road at Dry Creek (km 1840). Although this was covered with graded gravel, significant subsidence adjacent to the road has continued and affected embankment stability. Drilling, electrical resistivity tomography, and gravimetric surveys have identified irregular bodies of massive ice over 9 m thick and ice-rich sediments at the site.

The top of the massive ice is beneath a surficial layer of gravel 1.5-5.0 m thick. Ground temperatures collected at the site indicate warm permafrost, with a mean annual temperature between 0 °C and -1 °C. Data from the nearby Beaver Creek test section (km 1865) demonstrate that ground water flow may enhance heat flux beneath an embankment, and given the surface of gravel at Dry Creek, infiltration and movement of ground water will likely enhance the heat flux at the site.

The risk of embankment failure following thawing of the massive ice, perhaps suddenly, has prompted Yukon Highways and Public Works to employ cooling with passive thermosyphons to arrest thaw of the massive ice. The thermal design by SRK Consulting (Canada), Inc., specified the installation of 58 sloped thermosyphons spaced 7 m apart along the highway embankment to maintain a maximum temperature of -2 °C or less at the midpoint between the units (Figs 7, 8). The installation was completed in 2020. To the best of our knowledge this is the first use in Canada of sloped thermosyphons to prevent permafrost degradation beneath a public road. A long-term monitoring program has been developed for the site to confirm the thermal design criteria is met at representative locations and provide information to support similar thermosyphon-based designs in the future.

The Dry Creek project contributes to the evaluation of techniques for the adaptation of highway infrastructure to climate change in thaw-sensitive permafrost settings.

For more information see:
The immediate financial consequences of thawing permafrost stem from the deterioration of infrastructure that must be rehabilitated or reconstructed to maintain its service life. These expenses will increase with continuing climate change and its effects on permafrost, but there is little empirical basis to guide projections of such costs. Relevant financial data from Yukon are exceptional, particularly with respect to costs incurred in reconstructing, rehabilitating, and maintaining the territory’s highways.

The Alaska Highway traverses “warm” discontinuous permafrost along its route in southern Yukon. Permafrost underlies about 86% of the terrain in the westernmost 223 km of the highway, divided into 13 spreads with (191.4 km) or without (31.7 km) permafrost (Fig. 8). Permafrost is not sustainable within or beneath the highway embankment due to the increase in ground temperatures beneath the BST surfaces of ~1.5 °C and to regional near-surface permafrost warming of about 0.4 °C in 1980-2017.

The highway was reconstructed between 1992 and 2002 in southwest Yukon to straighten sections, level the embankment, and seal the surface at a design standard appropriate for traffic driving at 90 km/h. Using Government of Yukon records, highway reconstruction costs ($/km) were determined for the seven spreads with permafrost and six spreads without (Table 1).

The reconstruction was 25% costlier on permafrost, requiring about $120,000/km (constant 2017 CAD) more in this terrain. Subsequently, rehabilitation of the highway above degrading permafrost has been required every 6–7 years. The annual per km cost of maintaining these segments is $20,000, almost five times higher than for spreads built on seasonally frozen ground.

These data provide the first assessment of the increased cost of maintaining infrastructure built on permafrost as thawing proceeds (Fig. 9). An alternative to such costly rehabilitation is to lower the service standard of infrastructure operation and increase risks presented to drivers, passing some of the cost due to thawing onto users of the infrastructure.

Table 1. Per-kilometre expenditure of the Alaska Highway in permafrost and non-permafrost regions.

<table>
<thead>
<tr>
<th></th>
<th>Permafrost</th>
<th>Non-Permafrost</th>
<th>Factor Difference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overall</td>
<td>$1.44M</td>
<td>$963K</td>
<td>1.49</td>
</tr>
<tr>
<td>Reconstruction</td>
<td>$1.01M</td>
<td>$882K</td>
<td>1.25</td>
</tr>
<tr>
<td>Rehabilitation</td>
<td>$337K</td>
<td>$81K</td>
<td>4.17</td>
</tr>
<tr>
<td>Annual Rehabilitation</td>
<td>$20K</td>
<td>$3.9K</td>
<td>4.96</td>
</tr>
</tbody>
</table>

The costs of thawing permafrost, Alaska Highway, southwest Yukon, Canada

BY SARAH DOMINIE (TRANSPORTATION PLANNING BRANCH, GOVERNMENT OF YUKON) AND CHRIS BURN (CARLETON UNIVERSITY)

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Fig 8. Thirteen spreads of the westernmost section of the Alaska Highway.

Fig 9. Deterioration of the north Alaska Highway at the Mirror Creek crossing, km 1883.
The distribution, growth mechanisms, and effects on infrastructure of aufeis (icings) along a transportation corridor in a permafrost environment are being investigated along the Inuvik-Tuktoyaktuk Highway (ITH), NT.

The ITH traverses sparse taiga forest and tundra over continuous permafrost and crosses hundreds of small streams, some of which have winter baseflow supplied by lakes. Under natural conditions the accumulation of snow in stream channels may provide adequate insulation to maintain winter water movement above the streambed or through a sub-channel talik.

The disturbance or elimination of snow in channels by infrastructure can promote channel refreezing (Fig. 10) and bed-fast ice, often initiating the icing process whereby pressurized water is forced to the surface and freezes in layers.

Aufeis may occur at several ITH stream crossings (Fig. 11) where topography, minimal vegetation, or highway structures limit snow accumulation. Ice can fill channels and adjacent riparian terrain well above peak flood levels, potentially resulting in hazardous road ice conditions or embankment scouring by spring runoff if rerouted by thick ice. The high water pressure that leads to icing during the refreezing of the active layer can also heave streambanks, lift culverts or other structures, and lead to streambank injection ice and subsequent summer subsidence and bank erosion.

Aufeis surveys and inventories have been conducted along the ITH to investigate relations between winter runoff in permafrost catchments, catchment terrain parameters, and antecedent weather conditions. This work is intended to aid the planning and management of linear infrastructure in continuous permafrost.

For more information see:

Fig. 10. Streambed temperatures under and upstream of an ITH bridge. Under the bridge the streambed refreezes during winter, likely due to an absence of snow.

Fig. 11. Large body of aufeis beneath the km 8 bridge on the ITH. Viewed from downstream, February 2017.
Permafrost thaw has increased risks to northern transportation corridors creating a growing need for innovative monitoring tools. This project demonstrated that Remotely Piloted Aircraft Systems (RPAS), or drones, can enable timely and detailed three-dimensional reconstructions of thawing slopes at scales that bridge the gap between conventional remote-sensing and field observations. RPAS surveys were implemented in order to monitor permafrost landslides, focussing on a rapidly evolving retrogressive thaw slump (RTS) at km 28.5 on the Dempster Highway, NT (Figs 12, 13).

The RTS was monitored through repeat surveys and field observations, enabling processes of disturbance enlargement to be studied, and resulting in detection of a major thaw-driven landsliding event, where about 20,000 m$^3$ of slumped materials flowed up to 450 m downslope, coming within 230 m of the highway. The acceleration of thaw slumping has created a spectacular exposure of icy permafrost 15-20 m in height, which has increased thaw season production of saturated slurry.

Monthly monitoring in summer 2019 indicated successive debris flow events reaching within about 300 m of the highway, indicating a sustained period of summer risk. Coupled with remote cameras, RPAS surveys have become an important tool to monitor growth of the disturbance and to inform the development of a real-time monitoring system that has been implemented by the Department of Infrastructure to minimize risk to the highway and ensure public safety.

For more information see:
Implementing an applied permafrost research program: The Dempster - ITH research corridor

BY ASHLEY RUDY, STEVEN KOKELJ (NORTHWEST TERRITORIES GEOLOGICAL SURVEY), TIM ENSOM (WILDRID LAURIER UNIVERSITY), JURJEN VAN DER SLUIJS (GOVERNMENT OF THE NORTHWEST TERRITORIES), ALICE WILSON (AURORA RESEARCH INSTITUTE), AND CHARLES KLENGENBERG (INUVIALUIT LAND ADMINISTRATION)

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The GNWT, in collaboration with federal and academic partners, implemented a state of the art ground temperature monitoring network along the Dempster-ITH corridor (Fig. 14). This complements a legacy of geotechnical and mapping products developed to design, construct and maintain the ITH. Together this represents a tremendous opportunity to support an applied permafrost research hub that fosters collaboration, builds northern capacity, advances permafrost monitoring and research initiatives, and mobilizes this knowledge to inform adaptation.

With support from the NTAI, capacity at the Northwest Territories Geological Survey was enhanced, with a Geotechnical Data Scientist engaged in compiling, managing, and initiating geotechnical and ground temperature data analysis. Enhancing GNWT’s ability to support several applied research partnerships, this NTAI project has also spearheaded the development of the NWT Permafrost Database that holds permafrost geotechnical and ground temperature data collected by the GNWT, industry and academic partners. Data will be publicly accessible to contribute to informed decision-making, climate change adaptation, and risk management. Database development is complete, and the creation of a web portal has been initiated.

This project has fostered engagement with Inuvialuit Environmental Monitors and training in permafrost data collection methods along the ITH (Fig. 15). Northern organizations are now the primary generators and users of permafrost information, and key partners in applied permafrost monitoring, research, and decision-making. The resources and vision to build northern permafrost capacity is a fundamental component of programs that aim to address applied permafrost issues.
Economic and lifestyle factors in the North rely on the transportation network, which reduces the costs of living, supports inter-community mobility, and promotes effective resource development. For most northern communities, air travel is the only year-round option for the transport of goods and people. There are 156 airports within the permafrost zones of Canada, of which 67 are located in Yukon, Northwest Territories, and Nunavut (Fig. 16).

NTAI’s mandate is to assist northern jurisdictions to prepare transportation infrastructure for the anticipated consequences of climate change. Standards development is part of such preparation, to reduce variability from project to project in the resilience of infrastructure to climate-driven forces and to establish clear expectations for long-term operation of facilities. Recognizing the critical nature of airport infrastructure, this project recommended that a specific standard on climate change risk and vulnerability assessments for northern airports should be developed that includes guidance on: the methodology to be used for the analysis; risk and vulnerability assessment terminology; climate parameters and their projected changes; consideration of uncertainties from the projections and operations (e.g., traffic volumes, aircraft types); and how to apply the results in a decision-making process as well as in operations and maintenance.

Any climate change vulnerability assessment should address the full spectrum of airport operations and maintenance activities and not be limited to the physical infrastructure. This broader, holistic view will ensure that the current and future demands of airports are met, their resilience is assessed, and adequate adaptation measures are presented. In the North, planning is essential as significant effort may be required to implement particular measures.

The NTAI has established four sites along the Dempster Highway in Yukon and the Northwest Territories to describe ground thermal regimes near and beneath the road and to monitor their response to climate change. The Dempster Highway is underlain by permafrost for about 90% of its route between the Klondike Highway near Dawson, YT, and Inuvik, NT. It crosses treeline in Ogilvie Mountains in the south and Richardson Mountains near the territorial border.

One site, at Yukon km 124 was established in the southern tundra zone, others further north in Richardson Mountains at YT km 421 and NT km 8.5 (Figs 17, 18), and in Peel Plateau at NT km 51.5. At each site an automatic weather station records air temperature, relative humidity, and wind speed and direction. Ground temperatures are measured to 10 m depth beneath the road surface and at target depths of 8 m at the embankment toe and in undisturbed ground. Data are collected every 4 hours and transmitted via the GOES system. Monitoring has continued since site installation in winter 2013-14.

Permafrost is cold beneath the road and warm at the toe of the embankment at all sites due to clearing and redistribution of snow (Table 2). An unexpected observation concerns thin permafrost detected at km 124, probably due to heat conveyed by groundwater. The effect of the Arctic inversion on air temperature is evident at the northern sites. Some key data are summarized below. For reference, the values of mean air temperature for September 2014 to August 2018 at Inuvik (103 m asl) and Dawson (370 m asl) were -5.6 °C and -2.6 °C.


Table 2. Mean temperatures at 2 m above the surface (A), at the top of permafrost beneath the driving surface (D), embankment toe (T), and in adjacent undisturbed ground (U), September 2014 to August 2018.

<table>
<thead>
<tr>
<th>Site</th>
<th>A</th>
<th>D</th>
<th>T</th>
<th>U</th>
</tr>
</thead>
<tbody>
<tr>
<td>YT km 124</td>
<td>-6.2</td>
<td>-1.8</td>
<td>N/A</td>
<td>-1.6</td>
</tr>
<tr>
<td>YT km 421</td>
<td>-4.8</td>
<td>-1.8</td>
<td>-0.3</td>
<td>-1.9</td>
</tr>
<tr>
<td>NT km 8.5</td>
<td>-5.2</td>
<td>-3.2</td>
<td>-0.4</td>
<td>-0.7</td>
</tr>
<tr>
<td>NT km 51.5</td>
<td>-5.0</td>
<td>-4.0</td>
<td>-0.3</td>
<td>-0.3</td>
</tr>
</tbody>
</table>
This project is aimed at understanding the thermal and mechanical performance of highway embankments in the Arctic following winter construction. Two test sections were constructed in April 2015 as part of the Inuvik-Tuktoyaktuk Highway (ITH), NT. One section is reinforced with layers of wicking woven geotextiles at its side slopes (Fig. 19) to provide both reinforcement against lateral movements and drainage during the thawing season. The use of wicking geotextiles to reinforce fill slopes is a climate change adaptation measure to reduce the impact of thawing. The other test section is unreinforced and serves as the control section. Both test sections were instrumented with thermistor strings for temperature monitoring and ShapeAccelArrays to measure lateral movements and settlements. The geotextile reinforcement has been instrumented with strain gauges to measure tensile forces. The instrumentation has been monitored remotely using a satellite connection.

Field data show warming of the embankment fill and foundation soil. The frozen core of the embankment has reduced in size since end-of-construction. Thaw depths at the embankment toes have increased.

Figure 20 shows recorded lateral movements in the mid-slope of the embankment over four years since construction. The lateral movements in the reinforced section (open symbols) are consistently less than the those of the unreinforced section (solid symbols). Seasonal thaw depths at the slopes have increased and led to additional lateral movements. Mobilization of tensile forces in the geotextile reinforcement reduced lateral slope movements.

For more information see:
The 600 m long Beaver Creek experimental test site was established in 2008 at km 1865 on the Alaska Highway in Yukon to assess the performance of different mitigation techniques in reducing permafrost warming and subsidence of the highway embankment. The site is in the discontinuous permafrost zone and had an annual mean air temperature of -4.5 °C for the 1990-2019 period.

The mitigation techniques were designed to enhance heat extraction from the embankment or reduce absorption of solar radiation at the ground surface. One of the test sections included two air-convection-reflective sheds (ACRS) installed on each side of the road in fall 2009 to cover the shoulders and slopes of the embankment (Fig. 21). The ACRS were wooden structures, 30 m long by 15 m wide by 1 m high, with a roof made of white corrugated sheet metal, which reflected incoming solar radiation and shaded the ground. The ACRS prevented rainwater infiltration in summer and snow accumulation directly on the embankment sides in winter. Ground temperatures to 15.5 m depth were recorded hourly with instrumentation installed before the ARCS were erected under one of the sheds and in an unmitigated reference section.

From 2009 to 2016, mean annual soil surface temperatures under the two ACRS were on average 8 °C lower than at the reference section (Fig. 22). Calculation of seasonal heat exchanges shows that the ACRS allowed 311% more heat extraction in winter and 38% less heat influx in summer over the test period. The design of the ARCS promoted free air convection at the ground surface, which enhanced heat extraction from the embankment in winter when windspeeds in the area are very low (<5 km/h). Small-scale implementation of ARCS along vulnerable sections of highways or airstrips represent a viable approach for arresting permafrost thaw beneath side slopes of embankments.

For more information see:
Iqaluit airport is a hub for air transportation in the eastern Canadian Arctic. It was built during World War II and since then has undergone repeated repairs and upgrades (Fig. 23). Repairs to the pavement during the last 70 years have been caused by structural failures from differential frost heave and thermal contraction cracking. Partial melting of ice wedges has also caused linear settlements in the runway surface.

As with most infrastructure in the Canadian Arctic, permafrost conditions were not investigated before construction. They were characterized in detail between 2010-18 in preparation for major renovations and upgrading in 2018-19 because the risks associated with thawing permafrost are now recognized. Data from air photo interpretation, archival research, geophysics, drilling and coring, ground temperature monitoring, and numerical modelling were integrated in a GIS application.

Mapping of surficial geology and terrain conditions indicative of the presence of ground ice was combined with analysis of 24 deep boreholes (~ 8 m) and 7 shallow boreholes (~ 3 m) drilled in natural terrain, embankment shoulders, and through paved surfaces. Ground Penetrating Radar was used to delimit cryostratigraphic units and locate features under the embankments, particularly cracks and ice wedges (Fig. 24).

Terrain conditions prevailing before airport construction still impact the stability and thermal regime of the infrastructure. Ice-rich near-surface permafrost and many ice wedges will continue to generate thaw settlement and loss of bearing capacity should climate warming continue.

Temperature profiles under asphalt pavement show warmer ground and faster, deeper, and longer thaw penetration than the shoulders and natural terrain, causing pooling of water under paved surfaces. The newly acquired geoscientific data on the airport’s permafrost has oriented risk analyses and engineering design implemented during the recent improvements in order to make a modern infrastructure that is better adapted to the impacts of climate warming.

For more information see:
The Permafrost and Geoscience Research Group at Yukon University leads studies along the Dempster Highway investigating permafrost-related geohazards affecting the road and its surroundings. Sinkholes pose particular challenges for maintenance and safety as they may form almost instantly and be expensive to repair. A recurring sinkhole forms at km 82 on a west-facing hillslope at least annually and even several times per summer (see p. 17). A field study at the site including drilling, electrical resistivity tomography, and installation of ground temperature monitoring instruments started in 2016.

Results show snow accumulation on the embankment shoulder may have increased active layer thickness and opened new pathways through the soil for ground water flowing downslope. The repetitive sinkholes, first observed in 2011, indicate fine sediment in the roadbed is being removed by the flow. The loss of fine material creates a cavity that later collapses to form the sinkhole. Increasing precipitation in the area during the last two decades has likely contributed to the situation. Ground temperature records show infiltrated waters significantly affect the ground thermal regime at this site (Fig. 25).

Sinkholes result from various mechanisms. While intra- or supra-permafrost groundwater flow may induce some of them, in other cases icings impair drainage and channel water from the surface to the top of ice wedge troughs. Thermal erosion of ice wedges beneath the road leads to tunnels under the embankment, as at km 93 and 123 (Fig. 26). In some instances, the process begins in the field before reaching the road (km 123). Maintenance staff have noticed an increasing frequency of sinkhole development, possibly as an impact of climate change. Groundwater movement is a relatively new consideration for management of infrastructure above permafrost.

For more information see:

![Fig. 26. Sinkhole forming due to thermal erosion at km 123, Dempster Highway.](image)

![Fig. 25. Ground temperature record at km 82 over two years showing the impact of seasonal groundwater flow on thermal regime and flow in a conduit between 6 and 12 m depth for two months beginning in early June (see p. 17).](image)
An early priority of the NTAI was training of highly qualified personnel to become designers and managers of infrastructure in the permafrost regions of Canada. Given that research programs in permafrost engineering were only active at Université Laval and the University of Manitoba in 2011, there were few students graduating who might have been able to assist with the challenges anticipated for infrastructure from climate change. Ironically, just at this time, international interest in permafrost science expanded, driven by biogeochemical considerations around the climatic risks from permafrost carbon, with little comparable interest in infrastructure.

In China, interest and experience developed regarding construction on the Qinghai Tibet Plateau, but language barriers precluded close cooperation, as with Russian engineers, regardless of motivation similar to the NTAI. Capacity development has therefore been an emphasis of the program for both advanced students and professionals in the private sector.

The NTAI encouraged student participation in projects and workshops. Thesis projects by several students were supported by the NTAI either directly or through a territorial agency. Below, we profile six students supported by the NTAI who have graduated and now assume positions in government and industry directly related to their training or who are engaged in further study. A preponderance of women in the group reflects the current Master student population in permafrost science and engineering and reflects the steps that are being made to develop a representative workforce in this area.

For professionals and students in related fields, the NTAI sponsored a series of short courses in Whitehorse and Inuvik that involved both classroom instruction and field visits to the north Alaska Highway and ITH. These courses have provided over a hundred people with a formal foundation in permafrost engineering and the scientific knowledge on which it is based. Professional participants at the courses have attended from engineering consultants and territorial transportation agencies. There have also been a few participants from Alaska, Scandinavia, and China.

The success of these courses is not simply reflected in their over-subscription, as at Inuvik in 2019 when enrollment doubled the anticipated numbers, but also in that they have formed the basis for the joint Canada-Norway project Landscape & infrastructure dynamics of frozen environments undergoing climate change in Canada, Norway and Svalbard (NOK 6.57M) funded by the Research Council of Norway. The courses stress to participants the importance of understanding the formation, occurrence, and properties of ground ice as a solid close to its melting point, because it may be thawed following a small change in the ground thermal regime. This is key background for successful engineering design.

Eva Stephani  
PhD Candidate, University of Alaska Fairbanks

My current NTAI-funded PhD project at the University of Alaska Fairbanks aims to advance our understanding of retrogressive thaw slump (RTS) self-stabilization to support the development of effective adaptation strategies for infrastructure at risk. I am evaluating the climate, terrain, subsurface, and infrastructure conditions at various sites in the Northwest Territories and northern Alaska in order to assess the vulnerability and resilience of terrain to RTS, and interactions with infrastructure in sensitive permafrost.

NTAI fulfilled and further motivated my desire to integrate permafrost science and engineering, and bridge gaps between industry, academia, and government. This perspective, supported by my experience at NTAI workshops, was highly valued by prospective employers. NTAI has built strong foundations for the sustainable development of our permafrost regions.

PROJECT: Retrogressive thaw slump self-stabilization


Brendan O’Neill  PhD, Carleton University

My PhD project at Carleton University investigated the ground thermal regime of continuous permafrost on Peel Plateau, NT. Extensive road maintenance has been necessary due to ground ice thaw near the Dempster Highway embankment. The research examined permafrost conditions in disturbed and undisturbed terrain near the road.

NTAI supported my project and workshop attendance in Fairbanks, AK. Instruments from my PhD are still in operation and used by Government of the Northwest Territories and other students. A similar initiative would be useful for students in the future for developing collaborations that would not have been thought of or addressed if researchers were working independently.

Heather Brooks  PhD, Université Laval

My PhD project at Université Laval developed a methodology and tool for the quantitative analysis of risk to embankment infrastructure due to the presence of permafrost. The methodology used Monte Carlo statistical analysis techniques which formed the basis of an Excel macro for calculating the risk associated with linear infrastructure on permafrost.

As an early-career researcher, I really enjoyed the collaboration with other researchers working other aspects of transportation in northern regions. The NTAI program gave me the opportunity to connect with researchers in my field and broaden my professional connections. I now work at BGC Engineering Inc. as a practicing geotechnical engineer with some northern projects. A similar initiative would be useful to students in the future for developing collaborations that would not have been thought of or addressed if researchers were working independently.


Earl Marvin de Guzman  PhD, University of Manitoba

My PhD project at the University of Manitoba looked at the structural stability of highway embankments in the Arctic. The research focused on field monitoring of temperature and displacements of geotextile reinforced and unreinforced embankments, laboratory testing of thawing fill material, and numerical modelling for near- and long-term climate change conditions with the aim of improving existing design guidelines for Arctic highway embankments. NTAI also supported my participation in workshops.

The program allows students to communicate their research progress to an audience with special interest in permafrost, learn about on-going research and develop connections. NTAI allowed students to be part of, and learn from, the larger network of experts in Canada’s north. I now work as a geotechnical engineer in Oakville, ON, while writing conference papers and journal articles to share the culmination of my research.


Loriane Périer  MSc, Université Laval

My masters project at Université Laval looked at the effects of water temperature and water flow on the thermal regime around culverts built on permafrost. Two culverts were monitored on the Alaska Highway near Beaver Creek, YT, to provide data used to develop and validate mathematical and thermal models.

I attended a permafrost engineering course in Whitehorse, taught by professors Chris Burn and Guy Doré, which allowed me to learn about the challenges of building transportation infrastructure on permafrost. NTAI supported my attendance to the Permafrost Network of Expertise workshops as a speaker (Fairbanks, 2013) and participant (Nunavik, 2015). Even though I decided to pursue my career in consulting engineering, research has allowed me to develop technical aspects such as reflection and writing that are valuable to my work. I now work as a civil engineer in the transportation department at Stantec, Québec City. Most of all, I am proud to use the skills I have acquired for the analysis of permafrost in other complex and challenging environments.


Julie Malenfant-Lepage  PhD Candidate, Université Laval

I am in the final writing stage of my PhD project on developing a methodology for the design of low-impact drainage systems along transportation infrastructure in permafrost environments at Université Laval. Water flow along embankments increases permafrost thaw and soil erosion resulting in settlement, loss of functional capacity and potential failure.

The project focuses on validating the design method implemented along the airport access road in Salluit (Nunavik, QC) where drainage system has been adapted to climate change. The research will promote and identify adaptation strategies to counteract environmental changes caused by infrastructure in a changing climate. NTAI allowed me to conduct fieldwork which is essential in understanding basic concepts and assimilating theories related to permafrost science and engineering. Organizing northern fieldwork is also informative and useful for future employment.

PROJECT: Development of a methodology for the design of low-impact drainage systems along transportation infrastructure in permafrost environments

Without NTAI, research in the North would not be possible due to the high cost of transportation and fieldwork.

CAPACITY BUILDING

Permafrost Engineering Courses

BY EMMA STOCKTON AND JEN HUMPHRIES (CARLETON UNIVERSITY)

Since 2011, professors Guy Doré (Université Laval) and Chris Burn (Carleton University) have run a one-week course on “Permafrost engineering applied to transportation infrastructure” for researchers and professionals. The course combines classroom lectures with field excursions and has been held six times at two northern research institutions. Most recently at Aurora College in Inuvik (2019), and at Yukon University in Whitehorse (2011-2016). One-day summary versions were also developed for the 2015 and 2019 Canadian Permafrost Conferences in Québec City.

The course was created because many geotechnical engineers with experience in permafrost terrain are no longer practicing or are expecting to retire, and environmental considerations are assuming an increasing role in project development and design. Transport Canada recognized and addressed these factors as part of the NTAI program. The course objectives were to:

- Understand the context and challenges of building linear infrastructure in permafrost environments
- Provide the basic principles for effective site investigation, design and management of linear infrastructure
- Apply principles of risk analysis to the development of linear infrastructure
- Analyze complex situations and propose solutions to unstable infrastructure
- Develop transversal skills, and international and multidisciplinary collaborations.

Classroom lectures have been divided into two themes: the permafrost environment and permafrost engineering. Chris introduced topics on transportation infrastructure in northern Canada, permafrost characteristics, heat transfer, ground ice, climate change, and thermokarst terrain. Guy then discussed infrastructure design and considerations, freezing and thawing soil mechanics, slope stability, drainage, site investigations, and management strategies. Overall, the material applied theoretically based knowledge to practical situations.

Participants have also completed a practical exercise using TEMP/W, a
2D thermal modelling software, to examine the sensitivity of permafrost terrain to changes in temperature over time caused by surface disturbances and climate change.

Field excursions have typically included short local trips and day-long trips along the Alaska Highway or ITH where participants visited existing research sites and observed permafrost conditions and construction methods discussed in class.

The six courses have been attended by 111 participants including, students (44%), professionals (28%), government employees (15%), academics (7%), and others (5%) (Fig. 27). Women accounted for a third of total participants, and almost 60% of students. NTAI has also helped defray travel and accommodation costs for students who attended the courses. The courses have provided researchers and professionals from a variety of backgrounds the opportunity to network and collaborate, promote mutual learning, and initiate a multidisciplinary dialogue on potential environmental and socio-economic impacts of permafrost thaw.

For more information on the 2019 course visit sentinelnorth.ulaval.ca/en/permafrostengineering2019.

For more information see:
Stockton, E.J., et al. (2020). Encouraging collaborative permafrost research through multidisciplinary training programs [Conference presentation], Arctic Change 2020, ArcticNet.

CAPACITY BUILDING

Permafrost Workshops

BY EMMA STOCKTON AND JEN HUMPHRIES (CARLETON UNIVERSITY)

Annual permafrost workshops on NTAI projects began in 2010 with the inaugural ‘Workshop of the Network of Expertise on Permafrost’ in Haines Junction, YT. The workshop outlined existing highway research projects such as the Beaver Creek Test Section (Alaska Highway), Front Street (Dawson City), and Highway 3 (Yellowknife). Followed by a discussion on how the program will address knowledge gaps, capacity issues, and the practical problems of operating highways in the north.

Workshops have been held in Alaska and Canada in all three territories and two provinces. The 2021 meeting was held virtually, due to the COVID-19 pandemic. Workshops typically comprise technical and student presentations on proposed or existing projects, review of the program’s objectives, and field trips. In 2017, discussions focussed on federal coordination, territorial adaptation needs and priorities, and capacity development. The intention was to determine how to better coordinate and collaborate with federal partners, disseminate information to support a common understanding of priority areas of action for northern partners, and build a community of practice.

Over 300 participants have attended the meetings including, government employees (56%), academics (18%), students (16%), and professionals (10%) (Fig. 28). Women accounted for a quarter of total participants, and 28% of students. NTAI has helped defray travel and accommodation costs for students who attended these workshops.
Conclusion

BY CHRIS BURN (CARLETON UNIVERSITY)

The projects presented in this report indicate the first steps taken to develop resilience for northern transportation infrastructure in anticipation of the growing impacts of climate change in Canada’s North. Over the next few decades, transportation agencies will need to watch closely the emissions trajectory of greenhouse gases to determine the conditions at which the climate may settle and a new ground thermal environment will reach equilibrium.

Projected warming in fall and winter will be the principal driver of climate change and a critical long-term challenge for effective operation of current technology, such as thermosyphons and air convection embankments (ACE), which relies on a significant differential between air and ground temperatures in winter to cool the ground. In the short term, however, we may expect rehabilitation and maintenance to continue as in the past with some adjustments to designs for new infrastructure components.

Maintenance activities may soon have to include off Right-of-Way conditions as part of their mandate, such as for control of icings to protect embankments and culverts. Maintenance may also require systems for predicting embankment failure with sufficient time for remedial action, perhaps based upon antecedent conditions (e.g., precipitation intensity and amount). Rehabilitation of highways will be required where permafrost thaw leads to instability or failure of infrastructure is anticipated. Cost management may require planning over several years to prepare stockpiles of ACE rock, for example. Re-routing of highways in response to permafrost thaw may be necessary in places and require significant planning and negotiation, such as Chapman Lake, Dempster Highway. Negotiation of new Rights-of-Way will need to recognize that Land Claims Agreements now affect most areas in the North.

Finally, ground ice and permafrost thaw sensitivity must be considered in the design of new northern infrastructure and the selection of routes and sites, as well as the associated increase in costs. Innovation in airborne geophysics for detection of ground ice will be required, especially south of treeline where on-ground access is difficult.

Other Publications


The NTAI has only been possible due to the diligence and administrative abilities of its managers within the Policy Group of Transport Canada. The program was initiated under the admirable oversight, guidance, and organization of Janice Festa. Janice was assisted by John-Paul Handrigan for permafrost activities in the program, which has also had a marine component not reported in this document. Since 2017, Catherine Kim and Jenna Craig have carefully managed the activities and drawn a greater community of researchers and agencies into the work. Careful and supportive guidance from Maxine Bilodeau has enabled the program to flourish.

The extent of tangible impact delivered by the program in terms of research results, capacity development, assistance to northern jurisdictions, and in standards development is due to the attention devoted to the program at the federal level. Similar support has been provided by Paul Murchison in Yukon, Greg Cousineau in NWT, and John Hawkins in Nunavut, who have generously blended the activities of program into the mandate of their transportation agencies and represent their engaged teams in the North. The research community would like to place on the record its gratitude and appreciation for all the efforts that have been made for the NTAI by these members of the Public Service.